



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,262	07/27/2001	Derek Edward Sumpter	

CONFIRMATION NO. 7810

## FORMALITIES LETTER



\*OC000000006540704\*

SIMON, GALASSO & FRANTZ PLC.  
P.O. Box 26503  
Austin, TX 78755-0503

Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$135.
  - **\$135** for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 555.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/07/2002 ANABI1 00000083 501259 09917262

01 FC:201	370.00 CH
02 FC:204	140.00 CH
03 FC:205	65.00 CH



#3

Section #

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Derek E. Sumpter

By the Examiner:

Serial No.: 09/917,262

Group Art Unit: 3618

Filed: July 27, 2001

Title: MATERIAL AND WASTE  
TRANSPORTATION

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

Date of Mailing: November 9, 2001

I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to:

Box Missing Parts  
Assistant Commissioner for Patents,  
Washington, D.C. 20231

Type or Print Name Martha Rocha

Martha Rocha

Signature

Dear Sir:

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified Nonprovisional application for patent are the following documents:

1. Transmittal Letter;
2. Response To Notice To File Missing Parts Of NonProvisional Application;
3. Part 2-Copy of Notice To File Missing Parts Of NonProvisional Application;
4. Originally executed Declaration and Power of Attorney;
5. Confirmation Postcard.

The Commissioner is hereby authorized to charge the fees associated with this communication or credit any overpayment to Simon, Galasso & Frantz PLC Deposit Account No. 50-1259.

RESPECTFULLY SUBMITTED,  
Derek E. Sumpter

By:

Raymond M. Galasso

Raymond M. Galasso  
Reg. No. 37,832

Simon, Galasso & Frantz PLC  
P.O. Box 26503  
Austin, Texas 78755-0503  
Telephone: (512) 372-8240  
Facsimile: (512) 372-8247

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Derek E. Sumpter

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Assistant Commissioner for Patents,  
Washington, D.C. 20231

Type or Print Name Martha Rocha

*Martha Rocha*  
Signature

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

This letter is submitted in response to the Notice To File Missing Parts of Nonprovisional Application dated September 10, 2001. Applicant responds by filing an originally executed Declaration and Power of Attorney. Applicant responds by paying the required fees. The Commissioner is hereby authorized to charge payment of \$555.00 to Simon, Galasso & Frantz Deposit Account No. 50-1259. This amount includes the filing fee (\$355), multiple dependent claim surcharge (\$135), and late filing fee surcharge (\$65) identified in the Notice To File Missing Parts of Nonprovisional Application.

RESPECTFULLY SUBMITTED,  
Derek E. Sumpter

By: *Raymond M. Galasso*  
Raymond M. Galasso  
Reg. No. 37,832

SIMON, GALASSO & FRANTZ PLC  
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